

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

WITH SIGNED DECLARATION

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE

17497 U.S. PTO
10/840038
050604

Sir:

Herewith is the PATENT APPLICATION of
Inventor(s): **John S. ADAMS and Hong CHEN**

Title **AN INTRACELLULAR ESTRADIOL BINDING PROTEIN, A
POLYNUCLEOTIDE ENCODING THE SAME AND CELL
LINES OVEREXPRESSING THE SAME**

Atty. Dkt.: 302961 | Adams/Chen
C-M | Client Ref

including:

Date: May 6, 2004

1. Specification: 36 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☐ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 18 numbered claims
4. ☒ Drawings: 7 sheet(s) ☐ informal; ☒ formal of size: ☐ A4 ☒ 11"
5. ☒ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 6 or 7). (Prior M# 302961 SN 60/468,717)
6. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. 60/ 468,717 filed May 7, 2003
No. / filed
No. / filed
No. PCT / filed, which

designated the US.

7. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US application(s)

8. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

9. ☒ Assignee CEDARS-SINAI MEDICAL CENTER

Address 8700 Beverly Boulevard, Los Angeles, CA 90048

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

11. (country)

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 rd page for additional priorities	

12. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) filed on
in U.S. Application No. /
13. Small entity status → ☐ is **not** claimed; ☒ is claimed (**Pre-filing confirmation required**)
13(a). ☐ Attached: (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) **not essential** to make claim)
13(b). ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)

14. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/468,717	May 7, 2003	(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$770/\$385	\$385	1001/2001
19. Total Effective Claims	17	minus 20 =	*0	x \$18/\$9 =	+ 0	1202/2202
20. Independent Claims	3	minus 3 =	*0	x \$86/\$43 =	+ 0	1201/2201
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$290/\$145	+ 0	1203/2203
22.				TOTAL FILING FEE = \$385		
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	1053
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 0	8021
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	1808
26.				TOTAL FEE = \$385		
Our Deposit Account No. 16-1805				PLEASE CHARGE OUR DEP. ACCT.		
Our Order No. 081476 0302961						
				C#	M#	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

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SDL/SBK

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

John S. ADAMS and Hong CHEN

Group Art Unit: Unknown

Appln. No.: Unassigned

Examiner: Unassigned

Filed: May 6, 2004

Atty Dkt: 81476-302961

C# M#

Title: ***AN INTRACELLULAR ESTRADIOL BINDING
PROTEIN, A POLYNUCLEOTIDE ENCODING THE SAME
AND CELL LINES OVEREXPRESSING THE SAME***

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

Express Mail Label No. EV330955384US

Date of Deposit: May 6, 2004

Mail-Stop PATENT APPLICATION

Commissioner for Patents

P. O. BOX 1450

Alexandria, Virginia 22313-1450

Dear Sir:

I hereby certify that the following documents:

- X Request for Filing National Patent Application
- X Patent application (36 pages of specification; 18 claims; 1 page abstract)
- X Statement to Support Filing and Submission in Accordance with 37 CFR §§1.821-1.825
- X Sequence Listing and diskette containing copy thereof
- X Check in the amount of \$385 to cover the filing fee
- X 7 sheets of formal drawings
- X Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to: Mail-Stop PATENT APPLICATION, Commissioner for Patents, P. O. BOX 1450, Alexandria, Virginia 22313-1450.

Dated: May 6, 2004


Sherry B. Kolber